Implementation mapping: a promising and innovative method to design and select implementation strategies

Rinad S. Beidas, PhD
Associate Professor of Psychiatry; Medical Ethics and Health Policy;
Medicine

Founding Director, Penn Implementation Science Center (PISCE@LDI)



Conference







Colorado Clinical and Translational Sciences Institute (CCTSI)

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@rsbeidas

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Agenda

Background

Method and exemplar

Future directions

Objective

To share a promising method that can be used to select and tailor implementation strategies with an eye towards targets and mechanisms.

BACKGROUND

Or in other words, why does this presentation matter?

Implementation strategies are the "interventions" of implementation science.

Implementation strategies are active techniques to enhance the adoption, implementation, and sustainment of research-supported clinical interventions into practice

(Proctor et al., 2012; Powell et al., 2012)



IMPLEMENTATION STRATEGIES













ATTEND TO THE POLICY CONTENT

To encourage the promotion of programs and practices through accrediting bodies, licensing boards, and legal systems

The evidence on the effectiveness of implementation strategies is troubling.

| Strategy Review | Number of Trials | Effect Sizes |
|----------------------------------|--------------------------------|--|
| Printed Educational Materials | 14 Randomized Trials 31 ITS | Median absolute improvement 2.0% (range 0% to 11%) |
| Educational Meetings | 81 Randomized Trials | Median absolute improvement 6% (IQR 1.8% to 15.3%) |
| Educational Outreach | 69 Randomized Trials | Median absolute improvement in prescribing behaviors 4.8% (IQR 3% to 6.6%), other behaviors 6% (IQR 3.6% to 16%) |
| Local Opinion Leaders | 18 Randomized Trials | Median absolute improvement 12% (6% to 14.5%) |
| Audit and Feedback | 140 Randomized Trials | Median absolute improvement 4.3% (IQR .5 to 16%) |
| Computerized Reminders | 28 Randomized Trials | Median absolute improvement 4.2% (IQR .8 to 18.8%) |
| Tailored Interventions | 26 Randomized Trials | Meta-Regression using 15 trials. Pooled odds ratio of $1.56~(95\%~CI, 1.27~to~1.93, p < .001)$ |

Thank you to Byron Powell via Grimshaw et al (2012)

The lack of robust findings may be due to strategy development approaches.



Byron Powell via Martin Eccles via Jeremy Grimshaw's (2012) Presentation at KT Summer Institute

There is a magical quality to the development of implementation strategies to date.



We need to use rigorous and innovative methods to select and tailor our strategies.

Methods to Improve the Selection and Tailoring of Implementation Strategies

Byron J. Powell, PhD

Rinad S. Beidas, PhD

Cara C. Lewis, PhD

Gregory A. Aarons, PhD

J. Curtis McMillen, PhD

Enola K. Proctor, PhD

David S. Mandell, ScD

Context

Theory

Stakeholder preference

Journal of Behavioral Health Services Research (2017)

METHODS

What did we do?

Implementation mapping is a promising approach.

Context

Stakeholder preference

Theory

Step 4: Produce implementation materials

Step 5: Evaluate implementation outcomes

Fernandez, ten Hoor, van Lieshout, Rodrigues, Beidas, Parcel, Ruiter, Markham, & Kok (2019).

Frontiers Public Health.

Exemplar

Thing: firearm safety promotion EBP for pediatric primary care

210 stakeholders across 2 health systems



Step 1: Conduct a needs assessment and identify adopters and implementers

ARTICLE IN PRESS

Acceptability and Use of Evidence-Based Practices for Firearm Storage in Pediatric Primary Care

Rinad S. Beidas, PhD; Shari Jager-Hyman, PhD; Emily M. Becker-Haimes, PhD; Courtney Benjamin Wolk, PhD; Brian K. Ahmedani, PhD; John E. Zeber, PhD; Joel A. Fein, MD; Gregory K. Brown, PhD; Courtney A. Gregor, BA; Adina Lieberman, MPH; Steven C. Marcus, PhD

From the Department of Psychiatry (RS Beidas, S Jager-Hyman, EM Becker-Haimes, CB Wolk, GK Brown, CA Gregor, and A Lieberman), Department of Medical Ethics & Health Policy (RS Beidas), University of Pennsylvania Perelman School of Medicine; Division of Emergency Medicine, Children's Hospital of Philadelphia (JA Fein); School of Social Policy and Practice, University of Pennsylvania (SC Marcus), Philadelphia, Pa; Center for Health Policy and Health Services Research, Henry Ford Health System (BK Ahmedani), Detroit, Mich; and Center for Applied Health Research, Baylor Scott & White Health (JE Zeber), Temple, Tex The authors have no conflicts of interest to disclose.

Address correspondence to Rinad Beidas, PhD, Department of Psychiatry, Perelman School of Medicine, University of Pennsylvania, 3535 Market St, 3rd Floor, Philadelphia, PA 19104 (e-mail: rbeidas @upenn.edu). Received for publication March 30, 2018; accepted November 11, 2018.





Original Investigation | Pediatrics

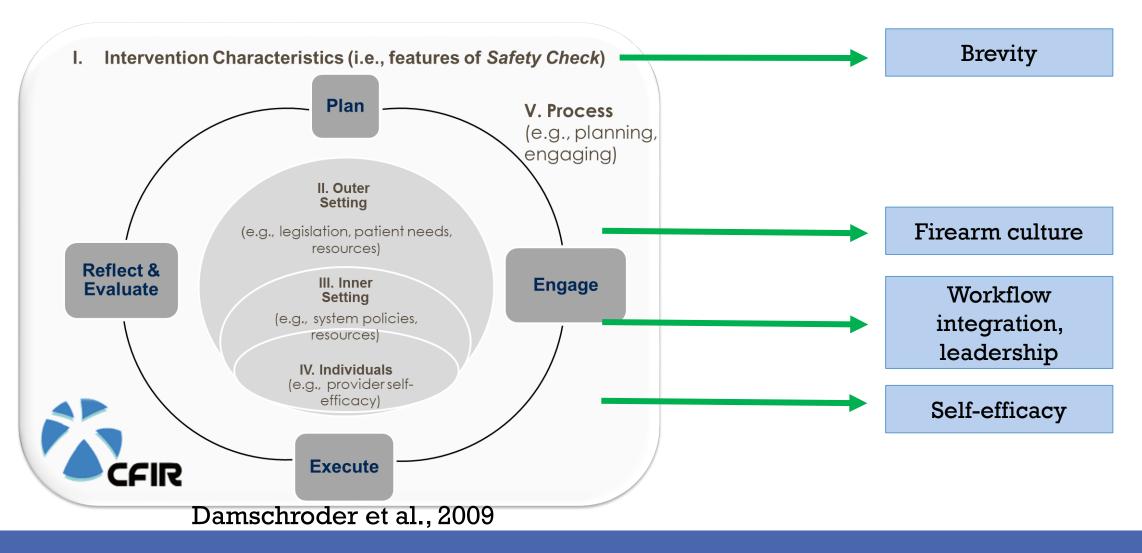
Stakeholder Perspectives on Implementing a Firearm Safety Intervention in Pediatric Primary Care as a Universal Suicide Prevention Strategy A Qualitative Study

Courtney Benjamin Wolk, PhD; Amelia E. Van Polt, MPH; Shari Jager-Hyman, PhD; Brian K. Ahmedani, PhD; John E. Zeber, PhD; Joel A. Fein, MD, MPH; Gregory K. Brown, PhD; Courtney A. Gregor, BA; Adina Lieberman, MPH; Rinad S. Beldas, PhD

Survey

Interviews

Step 1: Conduct a needs assessment and identify adopters and implementers



Step 2: Consolidate inputs into a working logic model that guides strategy selection and tailoring



Barriers

- Time
- Cost
- Storage of gun locks
- Provider motivation/capacity
- Health system barriers
- Political Climate
- Patient Objection
- Role/influence of parents

Facilitators

- Intervention characteristics
- Operations/workflow (time/capacity)
- Salience (priority, buy in, salience)
- Inner setting (existing initatives, relationships, champions, culture)
- Outer setting
- Leadership buy in
- Parent/patient

Core implementation strategies

Organizational Level

- Champions
- *Financing (cost, reimbursement)
- *Policy
- Restructuring workflow
- QI Plan
- EHR Integration
- *Marketing/branding

Provider Level

- Education
- Marketing/branding
- Champions
- Responsibility
- Accountability/piloting
- On-going coaching
- Workflow

Patient Level

- Marketing/Branding
- Education
- Responsibility
- Follow-up
- Gun Culture
- Guardian

Implementation Outcomes

- Stage of implementation (SIC)
- Penetration (gunlocks; # of families screened)
- Fidelity (provision of each FSC component)
- 4. Acceptability (FSC or IS)
- . Cost (FSC or IS)

Client Outcomes

- Safer gun storage
- 2. Accidental/intentional self-injury with firearm
- 3. Satisfaction

Step 3: Specify theory of change and operationalize strategies

Implementation strategies

Creating a plan for whom on the medical team will be responsible for implementing each component of the Firearm Safety Check

Changing the clinic or health system policies to encourage the implementation of Firearm Safety Check

Integrating the intervention into the electronic health record

Training providers how to implement the Firearm Safety Check

Making changes to the workflow to make it easier to implement the intervention

| Barrier/Facilitator | Operations & Workflow (time, capacity) | | |
|----------------------------|---|--|--|
| Implementation | Electronic Health Record (EHR) Integration | | |
| Strategy | | | |
| ERIC strategy and | Change record systems: Change record systems to allow better | | |
| definition (EHR | assessment of implementation or clinical outcomes | | |
| Integration) | | | |
| For whom and by | For clinicians; by IT | | |
| whom (EHR | | | |
| Integration) | | | |
| Outcome (EHR | Program will be embedded in EHR | | |
| Integration) | | | |
| Example (EHR | Prompts in the electronic health record to remind clinicians to | | |
| Integration) | implement and document each component of the program | | |
| Theory of behavior | Behavioral economics (making it "easier" by creating a nudge in the | | |
| change (EHR | EHR) | | |
| Integration) | | | |

Is the less costly and scalable EHR-based 'nudge' powerful enough or is more intensive and expensive facilitation needed to overcome implementation barriers in the case of this sensitive intervention?



Hybrid type III effectiveness implementation trial – longitudinal cluster RCT

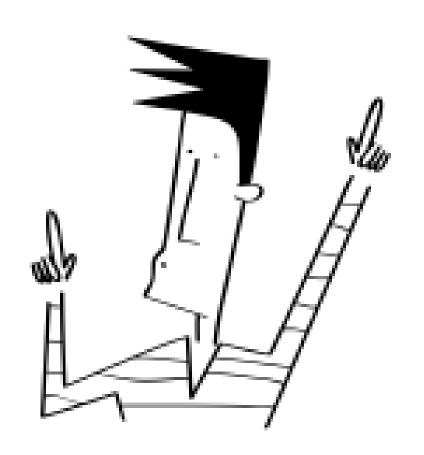
32 clinics, 151 clinicians, ~40,000 youth







This is a highly promising approach!



We experienced <u>common barriers</u> in using this approach across projects.

Capturing the voice of all stakeholders

How to weight inputs

Inputs are not always fully formed – still require elaboration

Output is largely what we hypothesized initially

Still feels more "art" than "science"

FUTURE DIRECTIONS

What should we do next?

We need rigorous trials to demonstrate that this approach results in more effective implementation strategies.

Do we need to do this every time we design implementation strategies (is the process important?)

Explore approach alongside other promising approaches (e.g., CFIR-ERIC tool)

We need recommendations on which inputs to include and how to weigh (e.g., surveys, interviews, innovation tournaments)



















Our Community Partners



Brian Ahmedani, PhD Shari Barkin, MD, MSHS Gregory Brown, PhD Leo Cabassa, PhD Joel Fein, MD, MPH Maria Fernandez, PhD Courtney Gregor, BA Shari Jager-Hyman, PhD Adina Lieberman, MPH Steven Marcus, PhD Amy Reed, BA Katherine Sanchez, PhD, LCSW Greg Simon, MD Courtney Wolk, PhD John Zeber, PhD Nicole Zeld, BA